

Ref: UTI/AMC/CS/SE/2020-21/009

Date: 21st October, 2020

National Stock Exchange of India Limited
Exchange Plaza, Plot No. C/1
G Block, Bandra-Kurla Complex,
Bandra (East), Mumbai – 400051
Scrip Symbol: UTIAMC

BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai 400 001
Scrip Code/Symbol: 543238/UTIAMC

Sub: Certificate pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

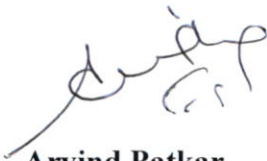
Dear Sir/ Madam,

Pursuant to Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate issued by a Practicing Company Secretary for the half year ended 30th September 2020.

You are requested to disseminate the above information on your respective websites.

Thanking you,

For UTI Asset Management Company Limited



Arvind Patkar
Company Secretary and Compliance Officer



Encl.: As above



VISHAL N. MANSETA (B.Com, A. C. S.)

Practicing Company Secretary

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Mira Road (East), Thane - 401107. Mob. : +91 99870 66314, +91 93217 99780
Web : www.vishalmanseta.com Email : vishal_manseta@rediffmail.com / vishal@vishalmanseta.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015 FOR
THE HALF YEAR ENDED 30TH SEPTEMBER, 2020**

I have examined the relevant Books, Registers, files and other documents relating to transfer of shares of **UTI Asset Management Company Limited** maintained by **KFin Technologies Private Limited (formerly known as "Karvy Fintech Private Limited")** pertaining to transfer of equity shares of the Company for the period from **01st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, by **UTI Asset Management Company Limited** and based on the information provided by the Registrar's to the Company, I hereby certify that:

- A) No physical Share Certificate(s) along with the Share Transfer form(s) lodged during the period from **April 01, 2020 to September 30, 2020**.
- B) Any other information if applicable - NIL.

**For Vishal N. Manseta
COMPANY SECRETARY**



Place: Mumbai
Date: October 21, 2020
UDIN: A025183B001017779

(Vishal Manseta)
M. No.: 25183
C.P. No.: 8981